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17157 U.S. PTO

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PTO/SB/05 (4-98)
Approved for use through 09/30/2000. OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

UTILITY PATENT APPLICATION TRANSMITTAL <small>(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b).)</small>		Attorney Docket No. SD8287.2	
		First Inventor or Application Identifier MORALES	
		Title	METHOD FOR PROVIDING AN ARBITRARY THREE - DIMENSIONAL MICROSTRUCTURE IN SILICON USING AN ANISOTROPIC DEEP ETCH
		Express Mail Label No.	EL177882097US

APPLICATION ELEMENTS <small>See MPEP chapter 600 concerning utility patent application contents.</small>	
1. <input checked="" type="checkbox"/> *Fee Transmittal Form (e.g., PTO/SB/17) <small>(Submit an original and duplicate for fee processing)</small>	
2. <input checked="" type="checkbox"/> Specification [Total Pages 21] <small>(preferred arrangement set forth below)</small> <ul style="list-style-type: none">- Descriptive title of the Invention- Cross References to Related Applications- Statement Regarding Fed sponsored R & D- Reference to Microfiche Appendix- Background of the Invention- Brief Summary of the Invention- Brief Description of the Drawings (if filed)- Detailed Description- Claim(s)- Abstract of the Disclosure	
3. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total Sheets 5]	
4. <input checked="" type="checkbox"/> Oath or Declaration [Total Pages 2] <ul style="list-style-type: none">a. <input type="checkbox"/> Newly executed (original or copy)b. <input checked="" type="checkbox"/> Copy from a prior application (37 C.F.R. § 1.63(d)) <small>(for continuation/divisional with Box 16 completed)</small>i. <input type="checkbox"/> <u>DELETION OF INVENTOR(S)</u> Signed statement attaced deleting inventor(s) named in the prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).	
<small>*NOTE FOR ITEMS 1 & 13: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28).</small>	

ADDRESS TO: Box Patent Application Assistant Commissioner for Patents Washington, DC 20231	
5. <input type="checkbox"/> Microfiche Computer Program (Appendix)	
6. Nucleotide and/or Amino Acid Sequence Submission <small>(if applicable, all necessary)</small> <ul style="list-style-type: none">a. <input type="checkbox"/> Computer Readable Copyb. <input type="checkbox"/> Paper Copy (identical to computer copy)c. <input type="checkbox"/> Statement verifying identity of above copies	
ACCOMPANYING APPLICATION PARTS	
7. <input type="checkbox"/> Assignment Papers (cover sheet & document(s))	
8. <input type="checkbox"/> 37 C.F.R. § 3.73(b) Statement <small>(when there is an assignee)</small>	
9. <input type="checkbox"/> English Translation Document <small>(if applicable)</small>	<input checked="" type="checkbox"/> Power of Attorney
10. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449	
11. <input checked="" type="checkbox"/> Preliminary Amendment	<input type="checkbox"/> Copies of IDS Citations
12. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) <small>(Should be specifically itemized)</small>	
13. <input type="checkbox"/> *Small Entity Statement(s)	<input type="checkbox"/> Statement filed in prior application, Status still proper and desired
14. <input type="checkbox"/> Certified Copy of Priority Document(s) <small>(if foreign priority is claimed)</small>	
15. <input type="checkbox"/> Other:	

16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:
☐ Continuation ☒ Divisional ☐ Continuation-in-part (CIP) of prior application No.: **10 / 146,421**
Prior application information: Examiner **BARRECA, N** Group / Art Unit: **1756**
For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

17. CORESPONDENCE ADDRESS					
<input checked="" type="checkbox"/> Customer Number or Bar Code Label		0215868 <small>(Insert Customer No. or Attach bar code label here)</small>		or <input type="checkbox"/> Correspondence address below	
Name	Timothy Evans MS 9031				
Address	Sandia National Laboratories 7011 East Avenue				
City	Livermore	State	CA	Zip Code	94550
Country	USA	Telephone	(925) 294-3690	Fax	(925) 294-3389
Name (Print/Type)	Timothy P. Evans	Registration No. (Attorney/Agent)	41,013		
Signature	<i>Timothy P. Evans</i>		Date	3/18/2004	

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FILES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

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FEE TRANSMITTAL FOR FY 2003

Patent fees are subject to annual revision.
Small Entity payments must be supported by a small entity statement.
Otherwise large entity fees must be paid. See Forms PTO/SB/09-12.

TOTAL AMOUNT OF PAYMENT (\$)**770.00**

Complete if Known

Application Number	NOT ASSIGNED
Filing Date	03/18/04
First Named Inventor	MORALES
Examiner Name	NOT ASSIGNED
Group / Art Unit	NOT ASSIGNED
Attorney Docket No.	SD-8287.2

METHOD OF PAYMENT (check one)

The Commissioner is hereby authorized to charge
1. ☒ indicated fees and credit any over payments to:

Deposit Account Number **50-0583**

Deposit Account Name **SNL by KCO**

☒ Charge Any Additional
Fee Required Under
37 CFR 1.16 and 1.17

2. Payment Enclosed:

☐ Check ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
1001	740	2001	370	Utility filing fee	770.
1002	330	2002	165	Design filing fee	
1003	510	2003	225	Plant filing fee	
1004	740	2004	380	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	

SUBTOTAL (1) **\$ 770.00**

2. EXTRA CLAIM FEES

	Extra Claims	Req. Fee	Fee Paid
Total Claims	6	-20**= 0	X 18 = 0
Independent Claims	1	- 3**= 0	X 84 = 0
Multiple Dependent		0	= 0

**or number previously paid, if greater; For Reissues, see below.

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description
1201	84	2202	42	Independent claims in excess of 3
1202	18	2201	9	Claims in excess of 20
1203	280	204	140	Multiple dependent claim, if not paid
1204	84	2204	42	**Reissue independent claims over original patent
1205	18	2205	9	**Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) **(\$0.00)**

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840	1805	1,840	Requesting publication of SIR after Examiner	
1251	110	2251	55	Extension for reply within first month	
1252	400	2252	200	Extension for reply within second month	
1253	920	2253	460	Extension for reply within third month	
1254	1,440	2254	720	Extension for reply within fourth month	
1255	1,960	2255	980	Extension for reply within fifth month	
1401	320	2401	160	Notice of Appeal	
1402	320	2402	160	Filing a brief in support of an appeal	
1403	280	2403	140	Request for oral hearing	
1451	1,510	138	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,280	2453	640	Petition to revive - unintentional	
1501	1,280	2501	640	Utility issue fee (or reissue)	
1502	460	2502	230	Design issue fee	
1503	620	2503	310	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	Petitions related to provisional applications	
1806	180	1806	180	Submission of Information Disclosure Stmt	
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	740	2809	370	Filing a submission after final refection (37 CFR 1.129(a))	
1810	740	2810	370	For each additional invention to be examined (37 CFR 1.129(b))	

Other fee (specify) _____

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) **(\$)**

SUBMITTED BY

Name (Printed/Typed) **Timothy P. Evans**

Signature

Complete (if applicable)

Reg. Number (Attorney/Agent) **41,013**

Telephone **(925) 294-3690**

Date **3/18/2004**

WARNING:

Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038

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In re: MORALES, et al.

Attorney Docket SD-8287.2

CERTIFICATION UNDER 37 CFR 1.10

I hereby certify that this New Application Transmittal and the documents referred to as enclosed therein are being deposited with the U. S. Postal Service on **March 19, 2004** in an envelope as "Express Mail Post Office to Addressee" Mailing Label Number EL177882097US , addressed to:

Mail Stop Patent Application
Commissioner for Patents
Alexandria, VA 22313-1405

Date of Deposit: 3-19-04

Liz Martinez
Person Making Deposit

Liz Martinez
Signature

Express Mailing Label No. EL177882097US

Attachments:

Preliminary Amendment including:
PTO SB/05 Transmittal Letter
PTO SB17 Fee Transmittal / Copy
Return Receipt Postcard